

BOARD OF DIRECTORS

Jeffrey F. Caughron

President and Chief Executive Officer

Richard L. Hansing

Managing Director

Eric A. Harland

Managing Director

Ryan W. Hayhurst

Managing Director

Ronald A. Hill

Managing Director

Carl W. Huxley

Managing Director

Michael W. Mackey

Managing Director

Torrance P. McElwee

Managing Director

Philip C. Stenseth, C.F.A.

Managing Director

Oklahoma City

(405) 415.7200
(800) 937.2257

Indianapolis

(317) 567.0016
(800) 406.0016

Springfield

(217) 241.0835
(888) 333.7704

Atlanta

(405) 415.7337
(855) 500.4546

Long Island

(405) 415-7332
(800) 937-2224

Austin

(512) 320.0301
(888) 480.0301

Salt Lake City

(801) 990.1701
(800) 288.9411

The Baker Group

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Oklahoma City, Oklahoma 73118
405.415.7200 or 1.800.937.2257

www.GoBaker.com

Member: Financial Industry Regulatory Authority and Securities Investor Protection Corporation

Statement of Financial Condition 2 0 2 1

June 30, 2021
(unaudited)

STATEMENT OF FINANCIAL CONDITION, JUNE 30, 2021

ASSETS

Cash and cash equivalents	\$ 4,804,188
Cash and securities segregated under federal and other regulations	703,392
Receivables from brokers and dealers	4,193,800
Receivables from customers – cash and fully secured accounts	23,771,161
Securities owned fair value	25,372,506
Property and equipment at cost, net accumulated depreciation of \$1,296,690	70,627
Cash surrender value of life insurance policies	1,846,037
Other assets	4,093,249
Total Assets	\$64,854,960

LIABILITIES AND PARTNERS' CAPITAL

Securities sold short	969,242
Payables to brokers and dealers	2,512,706
Accrued liabilities	15,300,318
Total Liabilities	18,782,266
Partners' Capital	
General Partner	19,331,931
Limited Partners	26,740,763
Total Partners' Capital	46,072,694
Total Liabilities and Partners' Capital	\$64,854,960

NOTES TO FINANCIAL STATEMENT AS OF JUNE 30, 2021

1. ORGANIZATION AND OPERATIONS

The Baker Group LP (the "Partnership") is registered under the Securities Exchange Act of 1934 (the "Act") as a general securities broker and dealer. The Partnership's customer base consists primarily of community banks. The Partnership is also registered as an investment adviser under the Investment Adviser Act of 1940.

2. NET CAPITAL REQUIREMENTS

The Partnership is subject to the Uniform Net Capital Rule (15c3-1) under the Securities Exchange Act which requires the maintenance of minimum net capital. Rule 15c3-1 also limits the amount of capital withdrawals that can be made within any 30-day period without notification and/or approval of the Securities and Exchange Commission. The Partnership has elected to use the alternative method, permitted by the rule, which requires the Partnership to maintain minimum net capital, as defined, equal to the greater of \$250,000 or two percent of aggregate debit balances arising from customer transactions, as defined. At June 30, 2021, the Partnership had net capital of \$42,261,407 which was \$42,037,281 in excess of required net capital.